| Diabetes<br>TrialNet |                   | ETES PILOT TRIAL<br>CREENING FORM |                      | Form NPP04<br>28Mar2007 (v1.2)<br>Page 1 of 7 |
|----------------------|-------------------|-----------------------------------|----------------------|---|
| Site Number:         | <br>Screening ID: |                                   | Participant Letters: |   |

# Study Coordinator completes this form at the Infant Screening Visit to assess eligibility. In the case of multiple births, complete another NPP04 Infant Screening Form for each infant at this visit.

#### A. VISIT INFORMATION

- 1. Date of visit (*e.g.* 05/Sep/2006):
- 2. Was mother participating in this study during pregnancy (Entry A)?
- 3. Is the mother currently breastfeeding her baby?

If YES, complete forms related to nursing mothers (NPP09, NPP20M, and NPP22).

#### **B. INFORMED CONSENT**

- 1. Informed consent signed for new child?
  - a. If YES, date of written informed consent obtained:
- 2. Permission given to store mother's samples?
  - a. If YES, permission to store mother's genetic samples?
- 3. Permission given to store infant's samples?
  - a. If YES, permission to store infant's genetic samples?

#### C. INFANT DEMOGRAPHIC INFORMATION

| 1. | Date of birth:                    |       |                           |             | / / /                     |           |         |    |                             |    |  |  |
|----|-----------------------------------|-------|---------------------------|-------------|---------------------------|-----------|---------|----|-----------------------------|----|--|--|
| 2. | Time                              | of bi | rth ( <i>e.g 13:36</i> ): |             |                           | :<br>Hour | <br>Min |    |                             |    |  |  |
| 3. | Sex (a                            | checi | k one):                   | $\square_1$ | Male $\square_2$          |           |         |    | Female                      |    |  |  |
| 4. | Ethnicity (check one): $\Box_1$   |       |                           |             | Hispanic or Latino        |           |         |    | Not Hispanic or Latino      |    |  |  |
| 5. | Race (                            | (cheo | ck all that apply         | v):         |                           |           |         |    |                             |    |  |  |
|    |                                   | a.    | American Inc              | lian or A   | Alaskan Native $\Box_1$ f |           |         |    | Other                       |    |  |  |
|    |                                   | b.    | Asian                     |             |                           |           |         | 1) | Specify:                    |    |  |  |
|    | $\Box_1$ c. Black or African Am   |       |                           |             | erican                    |           |         | 2) | Record the 3-digit          | a) |  |  |
|    | $\square_1$ d. Native Hawaiian or |       |                           |             | Other Pacific Islander    |           |         |    | code for race/ethnicity     | b) |  |  |
|    | $\square_1$ e. White              |       |                           |             |                           |           |         |    | (International sites only): | c) |  |  |

On all questions write "?" if the desired information is currently unavailable, but is being checked and will be known in future updates. Write "\*" if the desired information is permanently unavailable (i.e., will not be known in any future updates.).

Y

Y

Ν

Ν

| ////// | MONT | / | YEAR | <u> </u> |
|--------|------|---|------|----------|
|        |      | Y | N    | I        |
|        |      | Y | N    | I        |

| Di          | abetes<br>rialNet              |                  |  |                                   | PILOT TRIAL<br>NING FORM                      |                            | <b>Form NPP04</b><br>28Mar2007 (v1.2)<br>Page 2 of 7 |   |  |
|-------------|--------------------------------|------------------|--|-----------------------------------|---|----------------------------|--|---|--|
| Site        | :                              | Screening ID     | :                                      | <b>-</b>                          | Letters:                                      | Visit/                     | /  |   |  |
| D. 1        | PREGNANC                       | Y AND INFA       | NT ME                                  | DICAL HIST                        | ORY   |                            |  |   |  |
| 1.          | Did the moth                   | er experience a  | any com                                | plications duri                   | ing this pregnancy?                           |                            | Y  | Ν |  |
|             | a. If YES,                     | specify:         |  |                                   |   |                            |  |   |  |
| 2.          | Has the infan                  | t had any med    | ical prob                              | olems <u>since bir</u>            | <u>:th</u> ?                                  |                            | Y  | Ν |  |
|             | a. If YES,                     | specify:         |  |                                   |   |                            |  |   |  |
| <b>E.</b> 1 | MOTHER O                       | MEGA-3 FAT       | TY AC                                  | ID SUPPLEN                        | MENTATION                                     |                            |  |   |  |
| 1.          |                                |                  |  |                                   | applements or special anytime since delivered | al food products with ery? | Y  | Ν |  |
|             | If NO, skip to                 | Section F.       |  |                                   |   |                            |  |   |  |
|             | a. If the mot fatty acid       | Y                | N                                      |                                   |   |                            |  |   |  |
|             | b. Record all                  | l available info | rmation                                | on DHA and o                      | mega-3 fatty acids ta                         | aken:                      |  |   |  |
|             | Brand                          | a) Dose          | b) Unit                                | c) Frequency                      | d) Start Date                                 | e) Stop Date               | f) Currently taking?                                 |   |  |
| 1)          |                                |                  | □ <sub>1</sub> μg<br>□ <sub>2</sub> mg | $\square_1 Day \\ \square_2 Week$ | MONTH YEAR                                    | MONTH YEAR                 | Y  | Ν |  |
| 2)          |                                |                  | □ 1 μg<br>□ 2 mg                       | $\square_1 Day$ $\square_2 Week$  | MONTH YEAR                                    | MONTH YEAR                 | Y  | Ν |  |
| 3)          |                                |                  | □ 1 μg<br>□ 2 mg                       | $\square_1 Day$ $\square_2 Week$  | MONTH /YEAR                                   | MONTH /YEAR                | Y  | Ν |  |
| T I         | MOTHED DI                      | ECENT EVEN       | JTC                                    |                                   |   |                            |  |   |  |
| <b>F.</b> 1 |                                | er have an imr   |  | on within the <u>l</u>            | <u>ast 14 days</u> ?                          |                            | Y  | Ν |  |
| 2.          | Has the moth                   | er had any febr  | ile infec                              | tious illness in                  | the last 14 days?                             |                            | Y  | N |  |
| 3.          | Has the moth                   | ?                | Y                                      | Ν                                 |   |                            |  |   |  |
| 4.          | Did the moth                   |                  | Y                                      | Ν                                 |   |                            |  |   |  |
| 5.          | Has the mother the last 30 day |                  | ls (oral o                             | r inhaled) or o                   | ther immunosuppres                            | sive medications in        | Y  | Ν |  |
| 6.          |                                |                  |  |                                   | ments or blood prod<br>A) or in the last 30   |                            | Y  | N |  |

new infant (Entry B)?

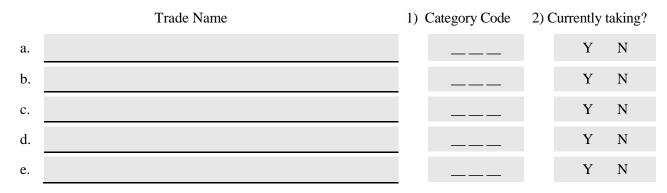
| Type<br>Dic<br>Tri | alNet |               | NIP DIABETES P<br>INFANT SCREEM |          |                    | Form NPP04<br>28Mar2007 (v1.2)<br>Page 3 of 7 |    |  |
|--------------------|-------|---------------|---------------------------------|----------|--------------------|---|----|--|
| Site:              |       | Screening ID: | ·                               | Letters: | <br>Visit<br>Date: | /   | _/ |  |

## G. MOTHER MEDICATIONS

1. Has the mother taken any medications (prescription and non-prescription NOT including omega-3 fatty acids, DHA, vitamins, or dietary supplements) since giving birth? (*Refer to NWK02 Concomitant Medication Worksheet. Use NPP20M Pregnant Woman/Nursing Mother Vitamin and Dietary Supplement Form to record vitamins and dietary supplements.*)

Y N

If YES, fill in the following table. List all medications taken since giving birth. (*Use the Medication Category Codes below to complete Category Code*):



| Med   | Medication Category Codes:      |     |                    |  |  |  |  |  |  |  |  |
|---|---------------------------------|-----|--------------------|--|--|--|--|--|--|--|--|
| Use the Number Codes below to indicate the type of medication used: |                                 |     |                    |  |  |  |  |  |  |  |  |
| 001   | Antibiotic 006 NSAID            |     |                    |  |  |  |  |  |  |  |  |
| 002   | Aspirin 007 Steroid Preparation |     |                    |  |  |  |  |  |  |  |  |
| 003   | Immunization                    | 008 | Thyroid Medication |  |  |  |  |  |  |  |  |
| 004   | Immunosuppressive               | 999 | Other              |  |  |  |  |  |  |  |  |
| 005   | Non-Insulin Diabetes Medication |     |                    |  |  |  |  |  |  |  |  |

See Manual of Operations for example of medications that fall under each Medications Category code.

#### H. INFANT BIRTH HISTORY

- 1. Gestational age at birth:
   \_\_\_\_\_weeks
- 2. Birth measurements (if unavailable see below for correct notation):

| a. Weight: | •kg | or | •_lb |
|------------|-----|----|------|
| b. Length: | •cm | or | •in  |

|      | abetes                                   |                  |                                  |                                   |   |                            |                     |      |  |  |
|------|--|------------------|----------------------------------|-----------------------------------|---|----------------------------|---------------------|------|--|--|
| Site | :  | Screening ID:    |                                  | •                                 | Letters:                                | Visit/                     | _/                  |      |  |  |
| I. I | NFANT OM                                 | EGA-3 FATT       | Y ACID                           | SUPPLEME                          | NTATION                                 |                            |                     |      |  |  |
| 1.   |  |                  |                                  |                                   | t vitamins, minera<br>comega-3 fatty ac |                            | Y                   | Ν    |  |  |
|      | If NO, skip to                           | J.               |                                  |                                   |   |                            |                     |      |  |  |
|      | a. Are the pa<br>participati             | Y                | Ν                                |                                   |   |                            |                     |      |  |  |
|      | b. Record all                            | taken:           |                                  |                                   |   |                            |                     |      |  |  |
|      | Brand                                    | a) Dose          | b) Unit                          | c) Frequency                      | d) Start Date                           | e) Stop Date               | f) Curre<br>taking? | ntly |  |  |
| 1)   |  |                  | $\Box_1 \mu g$ $\Box_2 mg$       | $\square_1 Day \\ \square_2 Week$ | MONTH YEAR                              | /<br>MONTH YEAR            | Y                   | Ν    |  |  |
| 2)   |  |                  | $\Box_1 \mu g$ $\Box_2 mg$       | $\square_1 Day \\ \square_2 Week$ | MONTH YEAR                              | //                         | Y                   | Ν    |  |  |
| 3)   |  |                  | $\square_1 \mu g$ $\square_2 mg$ | $\square_1 Day$ $\square_2 Week$  | MONTH /YEAR                             | //                         | Y                   | Ν    |  |  |
| J. I | NFANT REO                                | CENT EVENT       | S                                |                                   |   |                            |                     |      |  |  |
| 1.   |  | t have an imm    |                                  | within the las                    | t 14 days?                              |                            | Y                   | Ν    |  |  |
| 2.   | Has the infant                           | t had any febril | e infectiou                      | us illness in th                  | e <u>last 14 days</u> ?                 |                            | Y                   | N    |  |  |
| 3.   | Has the infant                           | t had any non-f  | ebrile infe                      | ectious illness                   | in the <u>last 14 days</u>              | ?                          | Y                   | Ν    |  |  |
| 4.   | Did the infan                            | t take any antil | piotics wi                       | thin the <u>last 1</u>            | <u>4 days</u> ?                         |                            | Y                   | Ν    |  |  |
| 5.   | Has the infant<br>the <u>last 30 day</u> |                  | (oral or in                      | nhaled) or othe                   | er immunosuppres                        | sive medications in        | Y                   | N    |  |  |
| 6.   | Has the infant<br>days?                  | t received any i | mmunogl                          | obulin treatme                    | ents or blood produ                     | ucts in the <u>last 30</u> | Y                   | N    |  |  |

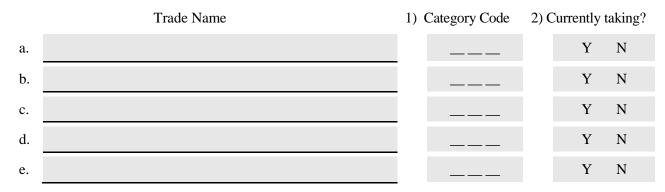
| Type<br>Dic<br>Tri | alNet |               | NIP DIABETES P<br>INFANT SCREEN |          |                    | Form NPP04<br>28Mar2007 (v1.2)<br>Page 5 of 7 |    |  |
|--------------------|-------|---------------|---------------------------------|----------|--------------------|---|----|--|
| Site:              |       | Screening ID: | ·                               | Letters: | <br>Visit<br>Date: | /   | _/ |  |

## **K. INFANT MEDICATIONS**

1. Has the parent(s) or legal guardian given their infant any medications (prescription and nonprescription NOT including omega-3 fatty acids, DHA, vitamins, or dietary supplements) since birth? (*Refer to NWK02 Concomitant Medication Worksheet. Use NPP20 Infant Vitamin and Dietary Supplement Form to record vitamins and dietary supplements.*)

Y N

If YES, fill in the following table. List all medications given since birth. (*Use the Medication Category Codes to complete Category Code*):



| Med   | Medication Category Codes:      |     |                    |  |  |  |  |  |  |  |  |
|---|---------------------------------|-----|--------------------|--|--|--|--|--|--|--|--|
| Use the Number Codes below to indicate the type of medication used: |                                 |     |                    |  |  |  |  |  |  |  |  |
| 001   | Antibiotic 006 NSAID            |     |                    |  |  |  |  |  |  |  |  |
| 002   | Aspirin 007 Steroid Preparation |     |                    |  |  |  |  |  |  |  |  |
| 003   | Immunization                    | 008 | Thyroid Medication |  |  |  |  |  |  |  |  |
| 004   | Immunosuppressive               | 999 | Other              |  |  |  |  |  |  |  |  |
| 005   | Non-Insulin Diabetes Medication |     |                    |  |  |  |  |  |  |  |  |

See Manual of Operations for example of medications that fall under each Medications Category code.

## L. INFANT PHYSICAL EXAM

1. Heart rate: beats per minute Respiratory rate: breaths per minute 2. 3. Weight: or kg lbs Length: 4. cm or in 5. Head circumference: cm or in 6. Temperature: or °C °F

| Diabete<br>Trial |  |             |               | TES PILOT TRIAL<br>REENING FORM |                |     | <b>Form NPP04</b><br>28Mar2007 (v1.2)<br>Page 6 of 7 |  |  |
|------------------|--|-------------|---------------|---------------------------------|----------------|-----|--|--|--|
| Site:            | Screening                                | g ID:       |               | Letters:                        | Visit<br>Date: | /   | _/   |  |  |
| L. INFA          | ANT PHYSICAL E                           | XAM (C      | ONTINUE       | <b>D</b> )                      |                |     |  |  |  |
| 7. Are           | the following system                     | n(s) norma  | al by history | or exam?                        |                |     |  |  |  |
|                  | System                                   | Norn        | nal?          | 1) If NO, describe              | abnormali      | ty: |  |  |  |
| a.               | HEENT                                    | Y           | Ν             |                                 |                |     |  |  |  |
| b.               | Neck                                     | Y           | Ν             |                                 |                |     |  |  |  |
| c.               | Thyroid                                  | Y           | Ν             |                                 |                |     |  |  |  |
| d.               | Lungs                                    | Y           | Ν             |                                 |                |     |  |  |  |
| e.               | Chest                                    | Y           | Ν             |                                 |                |     |  |  |  |
| f.               | Heart                                    | Y           | Ν             |                                 |                |     |  |  |  |
| g.               | Cardiovascular                           | Y           | Ν             |                                 |                |     |  |  |  |
| h.               | Abdomen                                  | Y           | Ν             |                                 |                |     |  |  |  |
| i.               | Liver                                    | Y           | Ν             |                                 |                |     |  |  |  |
| j.               | Spleen                                   | Y           | Ν             |                                 |                |     |  |  |  |
| k.               | Musculoskeletal                          | Y           | Ν             |                                 |                |     |  |  |  |
| 1.               | Neurologic                               | Y           | Ν             |                                 |                |     |  |  |  |
| m                | Urological/Renal                         | Y           | Ν             |                                 |                |     |  |  |  |
| n.               | Skin (including jaundice)                | Y           | Ν             |                                 |                |     |  |  |  |
| 0.               | Nails                                    | Y           | Ν             |                                 |                |     |  |  |  |
| p.               | Lymph nodes                              | Y           | Ν             |                                 |                |     |  |  |  |
| q.               | Other:                                   | Y           | Ν             |                                 |                |     |  |  |  |
| r.               | Describe any other                       | r pertinent | findings:     |                                 |                |     |  |  |  |
|                  |  |             |               |                                 |                |     |  |  |  |
| s.               | Physical exam con <i>print clearly</i> ) | ducted by   | r: (please    | NAME                            |                |     |  |  |  |
| t.               | Date physical exar                       | n complet   | ted:          | DAY MONTH                       | YEAR           |     |  |  |  |

| D           | iabetes<br>rialNet                      |             |            |            |               | OT TRLA<br>G FOR                 |                      |                         |      |     | Form NPP04<br>28Mar2007 (v1.2)<br>Page 7 of 7 |            |    |
|-------------|---|-------------|------------|------------|---------------|----------------------------------|----------------------|-------------------------|------|-----|---|------------|----|
| Site        | :                                       | Screening   | ID:        |            |               |                                  | _ Le                 | tters:                  |      |     | Visit<br>Date: —                              | _/         | _/ |
| <b>M.</b> 2 | MOTHER SPI                              | ECIMEN      |            |            |               | Cor                              |                      | 44.                     |      |     |   |            |    |
| 1.          | Biochemical<br>Autoantibodies           | 5           | Colle<br>Y | N          | a             | . Coi                            | mmen                 | 115:                    |      |     |   |            |    |
| <b>N.</b> 1 | INFANT SPE                              | CIMEN C     | OLLE       | стю        |               |                                  | ~ ~ ~                |                         |      |     |   |            |    |
|             |   |             |            |            | N<br>(0<br>(0 | Aethoo<br><u>check a</u><br>Cord | <i>ıll that</i><br>] | <i>apply</i> ):<br>Heel | _    |     |   |            |    |
| 1.          | HLA typing                              |             | Colle<br>Y | cted?<br>N |               | Blood $\Box_1$                   |                      | Stick $\square_2$       | b. ( | Cor | nments:                                       |            |    |
| 2.          | Biochemical<br>Autoantibodies           | S           | Y          | Ν          |               | □ 1                              |                      |                         |      |     |   |            |    |
|             | ner Cord Blood<br>ecimens:              | Collection  | 1          |            | а             | . Con                            | nment                | s:                      |      |     |   |            |    |
| 3.          | Vitamin D lev<br>C-Reactive Pr<br>(CRP) |             | Y          | Ν          |               |                                  |                      |                         |      |     |   |            |    |
| 4.          | Fatty Acids (R<br>Inflammatory          | RBC) and    | Y          | Ν          |               |                                  |                      |                         |      |     |   |            |    |
| 5.          | Mediators<br>If any cord blo            | od samples  | s were     |            |               | _                                |                      |                         | _    |     | a 1   | 1 00       |    |
|             | collected, the r<br>person(s) to the    | elationship | of the     |            |               |                                  | Famil                | У                       |      | 1   | Study resea                                   | arch staff |    |
|             | completed the<br>Form and pack          | Cord Bloo   | d Colle    |            |               |                                  | Friend               | b                       |      |     | Other   |            |    |
|             | the delivery ho <i>apply</i> ):         |             |            |            | C             |                                  | Delivery staff       |                         | 2    | 1   | <ol> <li>Specify:</li> </ol>                  |            |    |
|             |   |             |            |            |               |                                  |                      |                         |      |     |   |            |    |
|             |   |             |            |            |               |                                  |                      |                         |      |     |   |            |    |
|             |   |             |            |            |               |                                  |                      |                         |      |     |   |            |    |

| Initials (first, middle, last) of person completing this form: |        | F M L |
|--|--------|-------|
| Date form completed:   | ////// | YEAR  |